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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** <u>10/646,</u>528 SMITTAL Filing Date August 22, 2003 **FORM** First Named Inventor Kenneth COLLINS, et al Art Unit (to be used for all correspondence after initial filing) 2812 **Examiner Name** Unknown Attorney Docket Number 006915 P05 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Provisional Application** Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Robert M. Wallace Reg. No. 29,119 Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Shelly Hart				
Signature	melly	Aut	Date 05	24	2002/

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO) of process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATEN

Attorney Docket No. 6915 P05 RW Ref. No. APM/001-02-CP1-5

Examiner: Unknown

MAY 2 8 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Kenneth COLLINS, et al.

) Group Art Unit: 2812

Entitled: PLASMA IMMERSION ION IMPLANTATION PROCESS USING A PLASMA SOURCE HAVING LOW DISSOCIATION AND LOW MINIMUM PLASMA VOLTAGE

Serial No.: 10/646,528

Filing Date: August 22, 2003

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

PATENT

A copy of each foreign patent document and/or non-patent literature is enclosed. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

Dated: 05/21/2004

Robert M. Wallace Registration No. 29,119

Attorney for Applicants

Robert M. Wallace Patent Counsel 2112 Eastman Avenue, Suite 102 Ventura, CA 93003 (805) 644-4035 INFORMATION
DISCLOSURE
STATEMENT BY A PPLEANT

Sheet 1 of 1

Application Number:

10/646,528

Filing Date:

August 22, 2003

First Named Inventor:

Kenneth COLLINS, et al.

Group Art Unit: Examiner Name:

2812 Unknown

Attorney Docket Number:

006915 P05

U. S. PATENT DOCUMENTS

Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-5,074,456	12-24-1991	DEGNER ET AL.	228	121	09-18-1990
	US-5,653,811	08-05-1997	CHAN	118	723	07-19-1995
	US-6,000,360	12-14-1990	KOSHIMUZU	118	723	07-02-1997
	US-6,392,351 B1	05-21-2002	SHUNKO	315	111.51	05-03-1999
	US-6,679,981 B1	01-20-2004	PAN ET AL.	204	298.06	05-11-2000

FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)	
Examiner Initials	NON PATENT LITERATURE DOCUMENTS					

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Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.